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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER wn, see 37 CFR 1.5)

INTERN	NATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED
	PCT/KR2003/002579 26 November 2003 (26.11.2003) 28 November 2002 (28.11.2003)
	OF INVENTION METHOD OF PREPARING 10TH-DIBENZO[b,f][11,4]THIAZEPIN-11-ONE
APPLICANT(S) FOR DO/EO/US KWAK, Byong-Sung, et al.	
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:	
1. 🗓	
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3. 🗓	
	(5), (6), (9) and (21) indicated below.
4. X	Carlotte Control ( Made Control
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))
•	a. is attached hereto (required only if not communicated by the International Bureau).
	b. X has been communicated by the International Bureau.
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. X	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
	a. is attached hereto.
رحم	b. X has been previously submitted under 35 U.S.C. 154(d)(4).
7. X	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
	a. are attached hereto (required only if not communicated by the International Bureau).
	b. have been communicated by the International Bureau.
	c. have not been made; however, the time limit for making such amendments has NOT expired.
_	d. X have not been made and will not be made.
8	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
item	ns 11 to 20 below concern document(s) or information included:
11. 🔲	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. 🔀	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	A preliminary amendment.
14.	An Application Data Sheet under 37 CFR 1.76.
15.	A substitute specification.
16.	A power of attorney and/or change of address letter.
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. 🔲	Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1 including gathering information, preparing, and submitting the completed form to the USPTO. Time of time you require to complete this form and/or suggestions for reducing this burden, should be s-Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES O Post Off cate ind Page 1 of 2

"EXPRESS MAIL" Label No.: ER 842 050 883 US Date of Deposit: April 26, 2005 this correspondence is being Deposited with the United States. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## JC20 Rec'd PCT/PTO 2 6 APR 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known see 05825) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/KR2003/002579 206,955 The following fees have been submitted CALCULATIONS PTO USE ONLY 21. 🟋 Basic national fee.....\$300 300.00 Examination fee  $\mathbf{X}$ If International preliminary examination report prepared by USPTO and all claims satisfy provisions of 200.00 All other situations.....\$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 500.00 International Search Report prepared and provided to the Office......\$400 \$ 1,000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 0.00 -100 =/50 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest 0.00claimed priority date (37 CFR 1.492(h)). CLAIMS **NUMBER FILED** NUMBER EXTRA RATE Total claims -20 =\$ 50 \$ x 13 0 0.00Independent claims - 3 = 0 x \$200 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 0.00**TOTAL OF ABOVE CALCULATIONS =** 1,000.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0.00 1.000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest 0.00 claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = 1,000.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1,040.00 Amount to be \$ refunded: Amount to be \$ charged: a. X A check in the amount of \$ \_\_\_\_\_\_1,040.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_01-0035 \_\_. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SENISAIC IOGRAGE PONDENCE TO: ABELMAN, FRAYNE & SCHWAB 150 East 42nd Street New York, NY 10017-5612 United States of America **REGISTRATION NUMBER**